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3 Eastern District of Washington  
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FILED IN THE  
U.S. DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

Sep 25, 2024

SEAN F. MCAVOY, CLERK

10 UNITED STATES DISTRICT COURT  
11 FOR THE EASTERN DISTRICT OF WASHINGTON

12 UNITED STATES OF AMERICA,

13 Plaintiff,

14 v.

15 RODERICK LENEAL SMITH,

16 Defendant.

Case No: 2:24-CR-109-TOR

Pretrial Diversion Agreement

17  
18 Plaintiff, United States of America, by and through Vanessa R. Waldref, United  
19 States Attorney for the Eastern District of Washington, and Dan Fruchter and Jeremy  
20 Kelley Assistant United States Attorneys, as well as Defendant, Roderick Leneal Smith  
21 (“Defendant”), and Defendant’s counsel, Steve Hormel, agree to the following Pretrial  
22 Diversion Agreement (the “Agreement”):

23 **I. Overview and Information**

24 1. On March 18, 2024, the United States Attorney’s Office for the Eastern  
25 District of Washington notified Defendant Roderick Leneal Smith (“Defendant”) that  
26 the United States Small Business Administration was investigating Defendant for, *inter*  
27 *alia*, wire fraud, in violation of 18 U.S.C. § 1343.  
28

1           2.     On August 9, 2024, the United States filed an Information charging  
2 Defendant with one count of wire fraud, in violation of 18 U.S.C. § 1343. Defendant  
3 waives indictment and consents to proceed on the Information filed in the United States  
4 District Court for the Eastern District of Washington, in connection with the Covered  
5 Conduct, as set forth below.

6           3.     Defendant stipulates and agrees that Defendant did in fact violate 18  
7 U.S.C. § 1343 and that the United States could prove Defendant's guilt beyond a  
8 reasonable doubt. Defendant wishes to accept responsibility for this conduct.  
9 Accordingly, Defendant stipulates and agrees to the following facts, referred to herein  
10 as the "Covered Conduct":

11           The Coronavirus Aid, Relief, and Economic Security Act (CARES Act) was a  
12 federal law enacted on March 27, 2020, designed to provide emergency financial  
13 assistance to the millions of Americans who were suffering the economic effects caused  
14 by the COVID-19 pandemic. One source of relief provided by the CARES Act was the  
15 authorization of forgivable loans to small businesses for job retention and other certain  
16 expenses, through a program referred to as the Paycheck Protection Program (PPP).

17           In order to obtain a PPP, a qualifying business was required to submit a PPP  
18 application signed by an authorized representative of the business. The PPP application  
19 required the business (through its authorized representative) to acknowledge the  
20 program rules and make certain affirmative certifications in order to be eligible to obtain  
21 the PPP. In the PPP application, the applicant (through its authorized representative)  
22 was required to state, among other things: (a) its average monthly payroll expenses; and  
23 (b) its number of employees. If the applicant had no employees other than the owner,  
24 the applicant was required to provide the gross income amount from a 2019 or 2020  
25 Internal Revenue Service (IRS) Form 1040, Schedule C. These figures were used to  
26 calculate the amount of money the small business was eligible to receive under the PPP.  
27 Additionally, the applicant was required to certify that the business was in operation as  
28 of February 15, 2020. The applicant was also required to certify that the information in  
the application was true and correct to the best of the applicant's knowledge.

1 A business's PPP application was received and processed, in the first instance,  
2 by a participating lender. If the participating lender approved the loan, data from the  
3 application, including information about the borrower, the total amount of the PPP, the  
4 listed number of employees, and the gross income amount, was transmitted by the  
5 lender to the Small Business Administration (SBA), an agency of the United States, in  
6 the course of processing the PPP. The location of the server through which the PPP  
7 application data was submitted was based on the date the application was processed by  
8 the SBA and the application number.

9 If a PPP application was approved, the participating lender funded the PPP using  
10 its own monies. PPP funds disbursed by participating lenders were fully guaranteed by  
11 the SBA. Once a PPP was funded, PPP lenders submitted disbursement details into the  
12 SBA E-Tran system in Sterling, Virginia. E-Tran transmitted the PPP processing fee  
13 to the lender through the United States Treasury's Financial Management System  
(FMS) to the Treasury.

14 In addition to the PPP, the CARES act also authorized additional funding for the  
15 Economic Injury Disaster Loan (EIDL) program. The Economic Injury Disaster Loan  
16 ("EIDL") program was a federal government relief program that provides low-interest  
17 funding to small business, renters, and homeowners affected by declared disasters. The  
18 EIDL program also offered EIDL Advance grants for certain qualifying businesses that  
19 did not have to be repaid. To receive an EIDL and/or an EIDL Advance for COVID-  
20 relief, a qualifying business must submit an application to the Small Business  
21 Administration ("SBA") with information about the applicant's operations including  
22 the number of employees and gross revenues and costs of goods in 12-months preceding  
23 January 31, 2020.

24 EIDL applications were received in cloud-based platforms. The location of the  
25 server through which the EIDL application was submitted was based on the date the  
26 application was processed by SBA and the application number. EDIL disbursements  
27 were initiated by the SBA Denver Finance Center located in Denver, Colorado, which  
28 transmitted the payment information through the FMS system to the Department of  
Treasury server located in the State of Virginia.

1 From between in or about January 2016 through in or about January 2022,  
2 Defendant was employed as an Airman with the United States Air Force. Defendant  
3 was not self-employed (*i.e.*, was not a sole proprietor) and had not been self-employed  
4 in 2019 or 2020.

5 Defendant met Taylor Kendall while stationed at Fairchild Airforce Base in the  
6 Eastern District of Washington. One day, while Defendant was at Kendall's residence,  
7 Kendall asked Defendant if he needed any money and said that he could get money for  
8 Defendant if Defendant provided Kendall with his bank account information, social  
9 security number, and identification. Defendant agreed and provided Kendall with the  
10 information he requested. Kendall recorded the information in his laptop. Kendall told  
11 Defendant he would have to provide Kendall with some of the money that was sent to  
12 the Defendant. When Defendant received the funds, he transferred money to Kendall  
13 as promised.

14 Following the disbursement of the first PPP loan, Kendall texted Defendant about  
15 obtaining a second PPP loan. As before, Kendall requested the Defendant send Kendall  
16 some of the funds received. When Defendant received the funds from the second PPP  
17 loan, he transferred money to Kendall as promised.

18 On or about June 24, 2020, EIDL Application #3306272257 was created and  
19 submitted via interstate wires by Kendall on behalf of Defendant requesting an EIDL  
20 and EIDL Advance. The application falsely and fraudulently stated that Defendant was  
21 a sole proprietor in the agricultural industry of a company with legal name "Roderick"  
22 d/b/a "Smith" which was formed on April 14, 2017. The application also falsely and  
23 fraudulently stated the company had ten employees, that its gross revenues for the 12-  
24 months prior to January 31, 2020, were \$55,500, and that its costs of goods sold for the  
25 12-month period prior to January 31, 2020, were \$55,100.00. EIDL Application  
26 #3306272257 was declined by the SBA because Kendal had used Defendant's contact  
27 information on a prior EIDL application filed on June 19, 2020, for the company  
28 "Taylor" d/b/a "Kendall."

On or about July 21, 2020, EIDL Application #3311463594 was created and  
submitted by Kendall on behalf of Defendant requesting an EIDL and EIDL Advance.

1 The application falsely and fraudulently again stated that Defendant was a sole  
2 proprietor in the agricultural industry of a company with legal name "Roderick" d/b/a  
3 "Smith" which was formed on April 14, 2017. The application also falsely and  
4 fraudulently stated the company had ten employees, that its gross revenues for the 12-  
5 months prior to January 31, 2020, were \$55,500, and that its costs of goods sold for the  
6 12-month period prior to January 31, 2020, were \$55,100.00. EIDL Application  
7 #3311463594 was declined by the SBA because it was duplicative of EIDL Application  
8 #3306272257 Kendall filed on June 24, 2020.

9 On or about March 5, 2021, Kendall submitted a PPP Loan Application to Capital  
10 Plus Financial, LLC, on behalf of Defendant requesting a PPP Loan in the amount of  
11 \$20,832. The Application falsely and fraudulently stated that Defendant was the sole  
12 proprietor of a company with legal name "Roderick Smith" which was formed in 2019.  
13 The Application falsely and fraudulently represented that the company had one  
14 employee and 2019 total gross income of \$104,500. In support of the Application,  
15 Kendall submitted a false and fraudulent IRS Schedule C form purporting to show a  
16 2019 business profit of \$104,500 and 2019 expenses of \$10,350. The Schedule C and  
17 Loan Application contained Defendant's true and correct Social Security Number.

18 The information provided in the PPP Loan Application was materially false and  
19 fraudulent, and based upon that false and fraudulent information, the application was  
20 approved and assigned PPP Loan No. 19520787-10. Relying upon the false  
21 representations in the application, on April 8, 2021, Capital Plus Financial, LLC, PPP  
22 deposited \$20,832 in PPP funds into Defendant's Navy Federal Credit Union account  
23 via an interstate Automated Clearing House (ACH) transfer. Between April 9, 2021,  
24 and April 14, 2021, Defendant transferred \$3,000 to Kendall via interstate wires using  
25 the Zelle bank transfer service and an additional \$6,000 to Kendal via interstate wires  
26 using Cash App.

27 Contrary to the representations in the PPP Loan Application, Defendant did not  
28 operate a business. Defendant did not use any of the funds to pay payroll expenses.  
The United States also incurred lender fees of \$2,500 related to PPP Loan No.  
19520787-10. The guarantee on this loan was exercised by Capital Plus Financial, LLC,

1 shifting the loss amount from Capital Plus Financial, LLC to the SBA directly.

2 On or about May 12, 2021, Kendall submitted a PPP Second Draw Borrower  
3 Application to Capital Plus Financial, LLC, on behalf of Defendant requesting a PPP  
4 Second Draw Loan in the amount of \$20,833. The Application falsely and fraudulently  
5 stated that Defendant was the sole proprietor of a company with legal name “Roderick  
6 Smith” which was formed on January 1, 2019. The application falsely and fraudulently  
7 represented that the company had one employee and 2019 total gross income of  
8 \$104,500. In support of the Application, Kendall submitted a false and fraudulent IRS  
9 Schedule C form purporting to show a 2019 business profit of \$104,500 and 2019  
10 expenses of \$10,350. The Schedule C and Loan Application contained Defendant’s  
11 true and correct Social Security Number.

12 The information provided in the PPP Second Draw Borrower Application was  
13 materially false and fraudulent, and based upon that false and fraudulent information,  
14 the application was approved and assigned PPP Loan No. 60295490-07. Relying upon  
15 the false representations in the application, on June 25, 2021, Capital Plus Financial,  
16 LLC, deposited \$20,833 in PPP funds into Defendant’s Navy Federal Credit Union  
17 account via an interstate Automated Clearing House (ACH) transfer. Between June 25,  
18 2021, and July 12, 2021, Defendant transferred \$1,500 to Kendall via interstate wires  
19 using the Zelle bank transfer service and an additional \$6,250 to Kendal via interstate  
20 wires using Cash App.

21 Contrary to the representations in the PPP Loan Application, Defendant did not  
22 operate a business. Defendant did not use any of the funds to pay payroll expenses.  
23 The United States also incurred lender fees of \$2,500 related to PPP Loan No.  
24 60295490-07. The guarantee on this loan was exercised by Capital Plus Financial, LLC,  
25 shifting the loss amount from Capital Plus Financial, LLC to the SBA directly.

26 In total, the actual loss incurred through the material false and fraudulent  
27 pretenses, promises, and representations of Defendant and Kendall was at least \$46,665.

28 4. On authority from the Attorney General of the United States, through  
Vanessa R. Waldref, United States Attorney for the Eastern District of Washington,  
prosecution in the Eastern District of Washington for the Covered Conduct shall be



1 deferred for 48 months. This 48-month period begins on the date this Agreement is  
2 signed by both parties and accepted by the Court. If Defendant fulfills his entire  
3 restitution obligation as described in Paragraph 8, *infra*, prior to the end of the 48-month  
4 period, the Agreement may be accelerated to terminate as early as 24 months from the  
5 date the Agreement is signed by both parties and accepted by the Court.

6 5. The United States and Defendant stipulate and agree that the Court will  
7 maintain jurisdiction over this matter and that the Court shall be the final arbiter as to:  
8 (1) whether a party breached this Agreement, and if so; (2) the appropriate remedy,  
9 which may include either terminating the Agreement or modifying its terms. A  
10 modification may include extending the Agreement's 48-month period by an additional  
11 12 months, for a total of 60 months, or accelerating the Agreement to terminate in as  
12 early as 24 months if Defendant fulfills his restitution obligation, as described in  
13 Paragraphs 4 and 8.

## 14 **II. Terms**

15 Defendant stipulates and agrees to the following terms:

16 6. **Waiver of Constitutional Rights.** Defendant, by entering this  
17 Agreement, agrees to waive certain constitutional rights including (1) the right to a jury  
18 trial; (2) the right to see, hear, and question witnesses; (3) the right to compel witnesses  
19 to testify; (4) the right to remain silent at trial; and (5) the right to testify at trial.  
20 Defendant knowingly and voluntarily waives the above rights.

21 7. **Supervision.** Defendant stipulates and agrees to be supervised by the U.S.  
22 Probation Office during this 48-month period (or longer, if the period is extended by  
23 the Court). Further, Defendant understands the following:

- 24 a. Defendant shall not violate any federal, state, or local law. This term  
25 does not apply to minor civil infractions such as speeding.  
26 b. If Defendant is arrested or has any official contact with law  
27 enforcement in a civil or criminal investigative capacity, Defendant  
28

1 shall notify Defendant's supervising pretrial diversion officer within  
2 two business days.

3 c. Defendant shall live within the jurisdiction of the Eastern District of  
4 Washington. If Defendant seeks to reside outside the District,  
5 Defendant shall notify and seek the approval of Defendant's  
6 supervising pretrial diversion officer so that appropriate arrangements  
7 in light of the Agreement can be made.

8 d. Defendant shall maintain employment in a lawful occupation. When  
9 out of work, Defendant shall notify Defendant's supervising pretrial  
10 diversion officer. In the event that Defendant becomes self-employed,  
11 Defendant shall provide evidence of such self-employment.

12 e. Defendant shall report to Defendant's supervising pretrial diversion  
13 officer as directed by the Court or U.S. Probation. Any failure to abide  
14 by the reporting requirements as established by the Court or U.S.  
15 Probation shall be deemed a violation of the Agreement.

16 f. Defendant shall not possess, control, consume, and/or use any illegal  
17 controlled substance, including marijuana, nor own, possess, or have  
18 access to any firearm, ammunition, destructive device, or dangerous  
19 weapon (i.e., anything that was designed, or was modified for, the  
20 specific purpose of causing bodily injury or death to another person,  
21 such as nunchakus or tasers), unless it has been disclosed to, and  
22 approved by, U.S. Probation.

23 g. Defendant will allow the probation officer to visit at any time at  
24 Defendant's home or elsewhere and will permit the probation officer to  
25 take any items prohibited by the conditions of supervision that he or she  
26 observes in plain view.

27 h. Defendant shall abide by any other conditions imposed by Defendant's  
28 supervising pretrial diversion officer.



1           8.     **Restitution.** As an express condition of this Agreement and the promises  
2 made by the United States herein, Defendant agrees to make full restitution to the  
3 United States Small Business Administration (SBA) and the loan servicer, as follows:

- 4           a. Defendant agrees to repay in full the remaining amount owed on PPP  
5 Loan No. 60295490-07, which presently amounts to \$20,833.00 in  
6 principal, \$475.68 in interest, and a \$2,500.00 lender fee, for a total  
7 restitution amount of \$23,808.68. Defendant agrees to make a  
8 payment of at least \$500.00 per month towards PPP Loan No.  
9 60295490-07, by the 15<sup>th</sup> day of each month, until it is paid off in full.  
10 Defendant agrees to make this payment directly to the SBA via the  
11 SBA's Loan Payment Exceptions portal located at  
12 <https://www.pay.gov/public/form/start/1263437081>, as detailed in  
13 Attachment A to this Agreement.
- 14           b. Defendant agrees to repay in full the remaining amount owed on PPP  
15 Loan No. 19520787-10, which presently amounts to \$20,832.00 in  
16 principal, \$355.00 in interest and a \$2,500.00 lender fee, for a total  
17 restitution amount of \$23,687.00. Defendant agrees to make a  
18 payment of at least \$500.00 per month towards PPP Loan No.  
19 19520787-10, by the 15<sup>th</sup> day of each month, until it is paid off in full.  
20 Defendant agrees to make this payment directly to the SBA via the  
21 SBA's Loan Payment Exceptions portal located at  
22 <https://www.pay.gov/public/form/start/1263437081>, as detailed in  
23 Attachment A to this Agreement.
- 24           c. Defendant agrees that his obligation to pay restitution of \$47,495.68  
25 continues until full restitution is made and agrees that he is obligated  
26 to make full restitution of \$47,495.68 regardless of whether he  
27 breaches this Agreement.  
28

- 1 d. Until Defendant's restitution obligations are paid in full, Defendant  
2 agrees to fully disclose all assets in which Defendant has any interest  
3 or over which Defendant exercises control, directly or indirectly,  
4 including those held by a spouse, nominee, or third party.
- 5 e. Defendant voluntarily agrees that the United States may immediately  
6 record a lien against all property and rights to property of the  
7 Defendant, which shall be released upon full payment of the  
8 restitution balance.
- 9 f. Defendant agrees that if he fails to make restitution payments as  
10 provided in this Paragraph, the United States shall be entitled to the  
11 entry of a money judgment against the Defendant in the amount of the  
12 outstanding balance. The parties further agree that if a money  
13 judgment is entered, the United States shall be entitled to discovery in  
14 aid of collection of the money judgment.
- 15 g. Defendant understands the Treasury Offset Program ("TOP") collects  
16 delinquent debts owed to federal agencies. If the Defendant fails to  
17 make his restitution payments as agreed in this Paragraph, he shall be  
18 enrolled in TOP and TOP may take all or part of Defendant's federal  
19 tax refund, federal retirement benefits, or other federal benefits and  
20 apply these monies to Defendant's restitution obligations.
- 21 h. Defendant understands that if the Defendant fails to make his  
22 restitution payments as agreed in this Paragraph, the United States  
23 may pursue any available remedies to ensure the restitution obligation  
24 is satisfied, including, but not limited to, garnishment and/or  
25 execution against available funds, wages, or assets.

26 9. **Tolling.** Defendant stipulates and agrees to toll the running of all  
27 applicable statutes of limitations and any time-based defenses for the Covered Conduct.  
28 This tolling shall run from the date the Agreement is signed by all parties until the

1 Agreement expires or is terminated by the Court. Defendant stipulates and agrees that  
2 the Agreement's tolling provision does not abridge or curtail the applicable statute of  
3 limitations in any way, but rather extends the applicable statute of limitations by the  
4 period of time that the Agreement is in effect.

5 Defendant further expressly waives indictment and all rights to a speedy  
6 indictment and/or trial pursuant to the Sixth Amendment of the United States  
7 Constitution, 18 U.S.C. § 3161, Federal Rule of Criminal Procedure 48(b), and any  
8 applicable Local Rules of the United States District Court for the Eastern District of  
9 Washington for the period during which this Agreement is in effect.

10 10. **Debriefings.** Defendant agrees to participate in full debriefings by federal  
11 and local investigative agencies about all of Defendant's knowledge of illegal conduct,  
12 at times and places to be decided by these agencies. Defendant agrees to provide  
13 complete, accurate and truthful information to these agencies. Defendant agrees to not  
14 falsely implicate any person or entity and agrees to not protect any person or entity  
15 through false information or omission. It is understood that Defendant may have an  
16 attorney present at any or all such debriefings.

17 11. **Testimony.** Defendant agrees to testify completely and truthfully at any  
18 subsequent hearing, grand jury proceeding, or other federal or state court proceeding  
19 involving co-defendants or any other person involved in criminal activity, whether  
20 called by any party.

21 12. **Documentary Information.** Defendant agrees to provide, or make  
22 available, any non-privileged documents or electronically-stored information in  
23 Defendant's possession, custody, or control, relevant to Defendant's conduct or the  
24 United States' investigation, and to provide access to any phone, computer, or electronic  
25 device on which any such documents or information may be found.

26 13. **Notification.** Defendant agrees to immediately notify the United States  
27 Attorney's Office if Defendant is contacted, interviewed, subpoenaed or requested to  
28 testify for or against any other person.

1       14.    **Breach.** If the Court, after a hearing, terminates the Agreement based on  
2 a breach by Defendant, the United States may resume its prosecution against Defendant  
3 as to the charge(s) under investigation, and any additional charges.

4       15.    **Admissibility of the Agreement in Prosecution.** In the event that the  
5 Court terminates the Agreement based on a breach by Defendant, Defendant stipulates  
6 and agrees that the Agreement and Defendant's admissions contained therein shall be  
7 admissible against Defendant at any trial, sentencing, or other related proceeding.

8       The United States stipulates and agrees to the following:

9       16.    **Deferred Prosecution and Dismissal.** The United States stipulates and  
10 agrees to defer prosecution of the above-captioned matter for a period of 48 months (or  
11 up to 60 months if the Agreement is extended). When and if Defendant satisfies all the  
12 requirements of the Agreement (including any modifications or extensions), the United  
13 States stipulates that it will seek dismissal with prejudice of the Information filed against  
14 Defendant pursuant to this Agreement. Except in the event of a violation by Defendant  
15 of any term of this Agreement, the United States will bring no additional charges against  
16 Defendant relating to Defendant's conduct as described in the Information and the  
17 Covered Conduct set forth above. This agreement does not provide any protection  
18 against prosecution for any crimes except as set forth above. Defendant and the United  
19 States understand that the Court must approve deferral under the Speedy Trial Act, in  
20 accordance with 18 U.S.C. § 3161(h)(2). Should the Court decline to defer prosecution  
21 for any reason: (1) both the United States and Defendant are released from any  
22 obligation imposed upon them by this Agreement; and (2) this Agreement shall be null  
23 and void, except for the tolling provisions set forth herein.

**III. Approvals and Signatures**

Agreed and submitted on behalf of the United States Attorney's Office for the Eastern District of Washington.

Vanessa R. Waldref  
United States Attorney

  
\_\_\_\_\_  
Jeremy J. Kelley  
Assistant U.S. Attorney


9/4/2024  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Dan Fruchter  
Assistant U.S. Attorney

9/5/2024  
\_\_\_\_\_  
Date

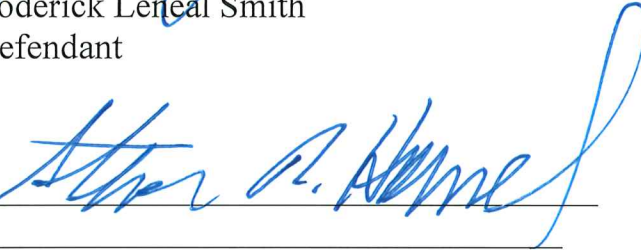


1 I have read the Agreement and have carefully reviewed and discussed every  
2 part of the agreement with my attorney. I understand and voluntarily enter into this.  
3 Furthermore, I have consulted with my attorney about my rights, I understand those  
4 rights, and I am satisfied with the representation of my attorney in this case. I  
5 understand the terms and conditions of the Agreement and agree to comply with them.

6   
7 \_\_\_\_\_

8 Roderick Leneal Smith  
9 Defendant

09/05/24  
Date

10   
11 \_\_\_\_\_

12 Steve Hormel  
13 Attorney for Defendant

9/5/2024  
Date

14  
15  
16 Approved without passing judgment on the merits or wisdom of this diversion.

17   
18 \_\_\_\_\_

19 Thomas O. Rice  
20 United States District Judge

September 25, 2024  
Date



# Attachment A


**SBA Loan Payment Exceptions Form:**

Returning PPP funds directly to SBA when a loan has been forgiven or guaranteed purchased:

Use the link: [Pay.gov - SBA Loan Payment Exceptions](https://www.pay.gov/public/form/start/1263437031)

Click on Continue to Form

https://www.pay.gov/public/form/start/1263437031


[Sign in](#)

[Browse Payments](#)
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### SBA Loan Payment Exceptions

- 1 **Before You Begin**
- 2 Complete Agency Form
- 3 Enter Payment Info
- 4 Review & Submit
- 5 Confirmation

This form is to be used by borrowers & non-borrowers for making loan payments only when the payment can't be made through the MySBA Loan Portal at [lending.sba.gov](https://lending.sba.gov)

**Accepted Payment Methods:**

- Bank account (ACH)


[Preview Form](#)
[Cancel](#)
[Continue to the Form](#)

This is a secure service provided by United States Department of the Treasury. The information you will enter will remain private. [Please review our privacy policy](#) for more information.

Make appropriate selection for Borrower or Non-Borrower and complete the fields. The loan number must be entered. A new form will need to be submitted for each loan that a payment is being made on.

**SBA Loan Payment Exceptions**

- 1 **Before You Begin**
- 2 **Complete Agency Form**
- 3 Enter Payment Info
- 4 Review & Submit
- 5 Confirmation



**U.S. Small Business Administration (SBA)**  
**Loan Payment Exceptions**

\* Required Field

Who is making this payment? \*

☒ Borrower  
☐ Non Borrower

Borrower or Business Name \*

Borrower Phone Number \*

Borrower Email Address \*

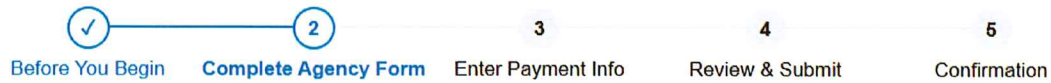
SBA 10-digit Loan Number \*

Amount \*

[Continue](#)
[View PDF](#)

Non-borrowers will need to provide their contact information as well as the borrower's name:

### SBA Loan Payment Exceptions



### U.S. Small Business Administration (SBA) Loan Payment Exceptions

\* Required Field

Who is making this payment? \*

- ☐ Borrower
- ☒ Non Borrower

Borrower or Business Name \*

Non Borrower Name \*

Non Borrower Phone Number \*

Non Borrower Email Address \*

SBA 10-digit Loan Number \*